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Country: India
PROJECT INITIATION PLAN (PIP)

Project Title: Integrated Women's Empowerment - Sustaining Change for Generations - Replication of the Integrated Women's Empowerment Model in Cotton Areas of Gujarat, Maharashtra and Rajasthan.

CPAP Outcome(s): Improved effectiveness of poverty reduction and livelihood promotion programmes in disadvantaged regions and for the inclusion of poor women and men from SC and ST groups, minorities and the displaced.

Initiation Plan Start Date: 1 October 2011

Initiation Plan End Date: 15 January 2012

Implementing Partner: UNDP

Brief Description

Poverty reduction through the empowerment of women has taken centre stage within India's development agenda. Advancements to date indicate that empowered women and girls have a transformative role to play in improving the lives of their children, households and society at large. UNDP works on projects and initiatives that have a transformational and lasting impact on women and families for generations to come. To this end, since 2009, UNDP and IKEA Foundation have partnered to test the impact of an integrated women's empowerment approach that addresses all elements of women's empowerment - social, economic political and legal empowerment in 500 poorest villages, reaching out to 50,000 women of Uttar Pradesh.

Based on positive outcomes of the women's empowerment pilot operational in Uttar Pradesh, and the successes to date, a Concept Note (attached) was submitted to the IKEA Foundation, proposing a project adaptation strategy for women's empowerment across an additional 10,016 villages in 7 districts of Maharashtra, Gujarat and Rajasthan. As in the past, the replication of the women's empowerment approach builds on child rights processes already initiated by UNICEF and Save the Children in the aforesaid districts.

A planning phase for full proposal formulation was approved by the IKEA Foundation. Based on the major issues emerging, the under the planning phase the project objectives/outputs, key performance indicators, strategy approaches and budgets will be detailed as per specific project proposal formats agreed with the IKEA Foundation.

The envisaged UNDP project will complement IKEA Foundation's support to child rights by dovetailing women's empowerment in their ongoing work with UNICEF. This PIP will result in rolling out a full scale project of Euro 17.3 million as per approved concept note.

| | |
|---------------------------|-------------------|
| Programme Period: | 2008-12 |
| CPAP Programme Component: | Poverty Reduction |
| Atlas Award ID: | 00049804 |
| Atlas Project ID: | 00079969 |
| LPAC Meeting Date | 25/11/2011 |

| | |
|----------------------------|---------------------|
| Total resources required: | \$136,426(€100,000) |
| Total allocated resources: | \$136,426(€100,000) |
| Regular | : Nil |
| Other: | |
| o IKEA Foundation | \$136,426(€100,000) |
| o Donor | _____ |
| o Donor | _____ |
| o Government | _____ |
| Unfunded budget: | None |

Caitlin Wiesen

12 Dec. 2011

Agreed by UNDP: Caitlin Wiesen, Country Director, UNDP

I. PURPOSE

Over the past years, poverty reduction through the empowerment of women has taken centre stage within India's development agenda. Advancements to date indicate that empowered women and girls have a transformative role to play in improving the lives of their children, households and society at large. UNDP works on projects and initiatives that have a transformational and lasting impact on women and families for generations to come. To this end, in 2009, the UNDP and IKEA Foundation jointly agreed to test the impact of a women's social, economic and political empowerment pilot in 500 poorest villages, reaching out to 50,000 women of Uttar Pradesh. The UNDP project built on child rights processes initiated by UNICEF between 2000-2007 in the same 500 villages supported by the IKEA Foundation.

Based on positive outcomes of the women's empowerment pilot and successes to date in addressing cyclic poverty, subjugation and disempowerment- which is often the primary reason why children are forced into child labor, a Concept Note-Format A was submitted to the IKEA Foundation, proposing a project strategy for women's empowerment through replication of the Uttar Pradesh women's empowerment pilot across approximately 10,016 villages covering seven districts of Maharashtra, Gujarat and Rajasthan. As in the past, the replication of the women's empowerment approach builds on child rights processes already initiated by UNICEF and Save the Children in the aforesaid districts. The envisaged UNDP project will thus complement IKEA Foundation's support to child rights by dovetailing women's empowerment - thereby adding the element of sustainability and lasting change to the work undertaken.

This strategy focuses on the following components:

Social Empowerment:

- Deepening women's social mobilisation processes initiated by UNICEF / Save the Children through strengthening of self-managed groups and their leadership.
- Integration of women's empowerment with specialised work with men and youth leading to marked community acceptance of women as change agents.

Economic Empowerment:

- Mark significant increase in women's participation in and control over production, labor and markets resulting in sustainable increase in household incomes.

Political and Legal Empowerment:

- Create a cadre of women elected leaders, para legal workers and SHG leaders who improve service delivery, accountability and women's access to government programs and justice institutions

Based on the aforesaid strategies, the next steps entail preparation of a detailed Project Proposal (Format B) and Budget and Financial Plan (Format C) as is a requirement of the IKEA Foundation. To this end, a Planning Phase will be carried out to harness a detailed situational analysis, an interim baseline and problem assessments through a wide range of primary and secondary tools which include among others in-depth interactions with women, local, state and national stakeholders to define those Key Performing Indicators that will have transformative and lasting impacts on women's condition and position and thereby their children, households and communities. Based on major issues emerging, under the planning phase, the project objectives/outputs, key performance indicators, impacts, strategy

approaches and budgets will be detailed. All these will be in accordance with Format B and C and as per submission guidelines of the IKEA Foundation.

II. EXPECTED OUTPUTS

The expected outputs of the planning phase will be submission of a detailed project proposal and budget as per IKEA Foundation's Format B and C covering all components for funding. An situational analysis and interim based will be prepared which will be drawn from the following inputs:

Step I: Situation analysis & Interim Baseline

- Field level Primary and Secondary Data Collection
- Field level Immediate and Root Causes analysis
- Field Based analysis of Gender and Power
- Field level Institutional Framework Analysis
- Field level Sectoral Assessments

The initial project design and strategy will be formulated based on the above

Step II: Project design / strategy formulation

- 360 Degree Stakeholder Analysis Workshop/Meetings
- Field Based Appreciative Enquiry
- Field Based Risk & Exit Assessments
- Development of a Knowledge Management Strategy

Step III: Outcome- Output-Activity Planning and Project Management

- Preparation of a Logical Framework
- Mapping SMART alliances

The final outcome of the planning will be submission of a detailed project proposal and budget as per IKEA Foundation's Format B and C covering all components for funding.

III. MANAGEMENT ARRANGEMENTS

The Implementing Partner

The project will be directly implemented by UNDP. UNDP Country Office will be responsible for financial management, reporting, procurement and recruitment services for the project as per its rules and procedures.

Project Review Mechanism

An expert team under the guidance of the Head Poverty Unit will be constituted to steer and guide the planning phase and recruit a technical agency to carry out the planning phase activities across all the 3 states. Team members will have substantial and demonstrated experience and expertise in designing and implementing projects on women's empowerment, project planning, participatory tools, quantitative and qualitative research, preparation of LFA's and harnessing project frames through multi-stakeholder processes. The technical agency will represent subject matter competence in social, economic, political and legal dimensions of women's empowerment as also the ability to analyse, synthesize and visualize processes into a project proposal and budget.

The technical agency will be backstopped by dedicated UNDP staff to ensure timeliness and quality of output. UNDP will be finally accountable to the IKEA Foundation on proposal submission as per approved format and within the timeline.

Project Assurance:

Project assurance will be the responsibility of the Head, Poverty Unit. This role ensures that appropriate project milestones are managed and completed.

Audit:

The project will be subject to audit in accordance with UNDP procedures, rules and regulations.

IV. MONITORING

UNDP will undertake overall monitoring of the project initiation plan phase. Based on this phase, a detailed monitoring strategy for the implementation of the full-fledged project will be prepared.

III. ANNUAL WORK PLAN

Period: October 2011 – January 2012

| EXPECTED OUTPUTS | PLANNED ACTIVITIES | TIMEFRAME | | RESPONSIBLE PARTY | Funding Source | Budget Description | PLANNED BUDGET | |
|--|--|-----------|---------|-------------------|-----------------|--------------------|----------------------|--------|
| | | Q3 2011 | Q1 2012 | | | | Activity Amount (\$) | |
| | | | | | | | 2011 | 2012 |
| Output 1: Situational Analysis and Interim Baseline prepared. | Field level Primary and Secondary Data Collection | X | | UNDP | IKEA Foundation | 72100 | 20,000 | 61,036 |
| | Field level Immediate and Root Causes | X | X | | | | | |
| | Assessing implementation mechanisms | X | X | | | | | |
| | Field Based analysis of Gender and Power | X | X | | | | | |
| | Field level Sectoral Assessments | X | X | | | | | |
| | 360 degree Stakeholder Analysis Workshops/Meetings | X | X | | | | | |
| Output 2: Project Design / Strategy Formulated. | Field based Appreciative Enquiry | X | X | UNDP | IKEA Foundation | 72100 | 14000 | 19,752 |
| | Field Based Risk & Exit Assessments | X | X | | | | | |
| | Knowledge Management Strategy | X | X | | | | | |
| Output 3: LFA Planning Workshop and detailing of implementation | Participatory Logical Framework Workshop | X | X | UNDP | IKEA | 72100, 71600 | NIL | 10,216 |

| EXPECTED OUTPUTS | PLANNED ACTIVITIES | TIMEFRAME | | RESPONSIBLE PARTY | Funding Source | Budget Description | PLANNED BUDGET | |
|---------------------------------|----------------------------------|-----------|---------|-------------------|-----------------|--------------------|----------------------|---------------|
| | | Q3 2011 | Q1 2012 | | | | Activity Amount (\$) | |
| | | | | | | | 2011 | 2012 |
| arrangements completed. | Mapping SMART Alliances | X | X | | Foundation | | | |
| Project Management & Monitoring | General Management Services (7%) | | | | IKEA Foundation | 74500 | 4461 | 4461 |
| | ISS | | | | IKEA Foundation | 74500 | 1250 | 1250 |
| Sub total | | | | | | | 38,461 | 97,965 |
| GRAND TOTAL | | | | | | | 136,426 | |